

Tectonic Advisors LLC

Privacy Policy

FACTS	WHAT DOES TECTONIC ADVISORS LLC DO WITH YOUR PERSONAL INFORMATION?
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Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share and protect your personal information. Please read this notice carefully to understand what we do.
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What?	<p>The types of personal information we collect and share depend on the product or service you have with us. This information can include:</p> <ul style="list-style-type: none"> • social security number and income; • assets and investment experience; • account transactions and risk tolerance, and • employment information. <p>When you are <i>no longer</i> our customer, we continue to share your information as described in this notice.</p>
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How?	All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons Tectonic Advisors LLC chooses to share; and whether you can limit this sharing.
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Reasons we can share your personal information	Does Tectonic Advisors LLC share?	Can you limit this sharing?
For our everyday business purposes — such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
For our marketing purposes — to offer our products and services to you	Yes	No
For joint marketing with other financial companies	Yes	Yes
For our affiliates' everyday business purposes — information about your transactions and experiences	Yes	No
For our affiliates' everyday business purposes — information about your creditworthiness	No	We do not share
For our affiliates to market to you	Yes	Yes
For non-affiliates to market to you	Yes	Yes

Questions?	Call us at 713.224.3100.
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Who we are	
Who is providing this notice?	Tectonic Advisors LLC

What we do	
How does Tectonic Advisors LLC protect my personal information?	<p>To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings, as well as:</p> <ul style="list-style-type: none"> • information access controls • service provider oversight and confidentiality agreements • proper disposal of customer information • periodic security training for personnel
How does Tectonic Advisors LLC collect my personal information?	<p>We collect your personal information, for example, when you:</p> <ul style="list-style-type: none"> • open an account or seek advice about your investments • direct us to buy securities or direct us to sell your securities • enter into an investment advisory contract or tell us about your investment or retirement portfolio or earnings <p>We also collect your personal information from others, such as credit bureaus, affiliates, or other companies.</p>
Why can't I limit all sharing?	<p>Federal law gives you the right to limit only:</p> <ul style="list-style-type: none"> • sharing for affiliates' everyday business purposes — information about your creditworthiness • affiliates from using your information to market to you • sharing for non-affiliates to market to you <p>State laws and individual companies may give you additional rights to limit sharing.</p>

Definitions	
Affiliates	<p>Companies related by common ownership or control. They can be financial and nonfinancial companies as referenced below.</p> <p><i>Our affiliates include financial companies such as:</i></p> <ul style="list-style-type: none"> • <i>Cain, Watters & Associates, LLC</i> • <i>HWG Insurance Agency LLC</i> • <i>T Bank, N.A.</i> • <i>Sanders Morris Harris LLC</i>
Non-affiliates	<p>Companies not related by common ownership or control. They can be financial and nonfinancial companies.</p> <ul style="list-style-type: none"> • <i>We share with non-affiliated companies so they can market to you.</i>
Joint marketing	<p>A formal agreement between nonaffiliated financial companies that together market financial products or services to you.</p> <ul style="list-style-type: none"> • <i>We jointly market with non-affiliated companies.</i>